

Lorenzo de Zavala State Archives and

Library Building

P.O. Box 12927 Austin, Texas 78711-2927

1201 Brazos St. Austin, Texas 78701

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Thursday, April 08, 2004

Federal Communications Commission Office of the Secretary 9300 East Hampton Drive Capitol Heights, MD 20743 RECEIVED & MISPECTED

APR 1 2 2004

FCC-MAILROOM

Re: CC Docket No. 02-6
Request for Review

Letter of Appeal for:

Funding Commitment Denial of FRNs: 1048402 & 1048496

Form 471 Application Number: **379966** Billed Entity Number: **141745**

Funding year 2003: **07/01/2003 – 06/30/2004**

Decision Explanation: **Telecommunications Service is** from a provider that does not provide telecommunications

on a common carriage basis.

Date of SLD's Decision on Appeal: February 10, 2004 (see attachment)

Applicant Information: Texas State Library and Archives Commission

Manuel Alvarez 1201 Brazos St Austin, TX 78701 (512) 463-5481

malvarez@tsl.state.tx.us

The Texas State Library and Archives Commission is appealing the Schools and Libraries Division's decision to deny funding for FRNs 1048402 & 1048496. The decision to deny was based on a determination that the provider, Department of Information Resources (DIR), does not provide telecommunications on a common carriage basis.

In actuality, our telecommunications service is provided by SBC, Southwestern Bell Telephone Company (SPIN: 143004662). The Department of Information Resources simply acts as the contract manager for all state agencies. SBC is an eligible telecommunications service provider as defined by 47 C.F.R. § 54.5(g), (h). Attached you

No. of Copies rec'd_ List ABCDE will find the invoices for our SBC service which we obtained from the Department of Information Resources.

The SLD's decision asserts that the Texas State Library and Archives Commission failed to demonstrate that the telecommunications provider that was selected on Form 471 (#379966) was eligible. Attached you will find a letter of support from the Department of Information Resources supporting the Texas State Library and Archives Commission's assertion that the Form 471 incorrectly listed the Department of Information Resources as the service provider rather than SBC.

The Texas State Library and Archives Commission respectfully requests the reconsideration of the Schools and Libraries decision to deny funding for FRNS 1048402 & 1048496 based on an invalid telecommunications provider. The reason for denial becomes irrelevant when the correct service provider is considered in the decision.

Please do not hesitate to contact us if you need further information.

Sincerely,

Edward Seidenberg

Assistant State Librarian

(512) 463-5459



Universal Service Administrative Company

Schools & Libraries Division

Administrator's Decision on Appeal - Funding Year 2003-2004

February 10, 2004

Edward Seidenberg Texas State Library and Archives Commission P.O. Box 12927 Austin, TX 78711-2927

Re:

Billed Entity Number:

141745

471 Application Number:

379966

Funding Request Number(s):

1048402 & 1048496

Your Correspondence Dated:

September 18, 2003

After thorough review and investigation of all relevant facts, the Schools and Libraries Division ("SLD") of the Universal Service Administrative Company ("USAC") has made its decision in regard to your appeal of SLD's Year 2003 Funding Commitment Decision for the Application Number indicated above. This letter explains the basis of SLD's decision. The date of this letter begins the 60-day time period for appealing this decision to the Federal Communications Commission ("FCC"). If your letter of appeal included more than one Application Number, please note that for each application for which an appeal is submitted, a separate letter is sent.

Funding Request Number:

1048402 & 1048496

Decision on Appeal:

Denied in full

Explanation:

- In your Letter of Appeal, you have indicated that you were bound by state procurement rules and regulations to purchase telecom services through the Department of Information Resources (DIR). You also indicated that the telecom services are actually provided by Southwestern Bell Telephone Company, and that the DIR only acts as the purchasing liaison for all state agencies. Furthermore, you included correspondence from the DIR advising you to indicate the end provider's SPIN in E-Rate applications.
- SLD has deemed the service provider, Department of Information Resources-Telecommunication Services (SPIN 143005581), ineligible as a provider of Telecom Services. Item 21 documentation included sample invoices from this service provider, thus there is no ambiguity regarding which service provider the applicant intended for these FRNs. Consequently, the appeal is denied.

- Your Form 471 indicates that you selected an ineligible telecommunications provider: Department of Information Resources, for Telecommunication Services. FCC regulations provide that only telecommunications carriers are eligible for universal service support. See 47 C.F.R. § 54.501(a). FCC regulations define telecommunications carriers as any provider of telecommunications services, and telecommunications services as "the offering of telecommunications for a fee directly to the public, or to such classes of users as to be effectively available directly to the public, regardless of the facilities used." 47 C.F.R. § 54.5(g), (h). Because this mirrors the common law definition of a "common carrier," the FCC has determined that to be eligible to receive universal service support, the provider must provide telecommunications services on a common carrier basis. See Federal-State Joint Board on Universal Service, CC Docket No. 96-45, Report and Order, 12 FCC Rcd 8776 at ¶ 134 (1997).
- SLD's review of your application determined that the telecommunications
 provider you selected is not eligible to receive universal service support because it
 does not provide telecommunications services on a common carrier basis. You
 did not demonstrate in your appeal that the telecommunications provider for
 which you are seeking support in your Form 471 application is an eligible
 provider. Consequently, SLD denies your appeal.

If you believe there is a basis for further examination of your application, you may file an appeal with the Federal Communications Commission (FCC). You should refer to CC Docket No. 02-6 on the first page of your appeal to the FCC. Your appeal must be **POSTMARKED** within 60 days of the above date on this letter. Failure to meet this requirement will result in automatic dismissal of your appeal. If you are submitting your appeal via United States Postal Service, send to: FCC, Office of the Secretary, 445 12th Street SW, Washington, DC 20554. Further information and options for filing an appeal directly with the FCC can be found in the "Appeals Procedure" posted in the Reference Area of the SLD web site or by contacting the Client Service Bureau. We strongly recommend that you use either the e-mail or fax filing options.

We thank you for your continued support, patience, and cooperation during the appeal process.

Schools and Libraries Division Universal Service Administrative Company

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From: Sherri Parks [sherri.parks@dir.state.tx.us]

To: mjohnson@tsl.state.tx.us

Subject: E-rate

Marilyn,

As the provider of the State of Texas' Telecommunications and data communications services, many of our provided services are e-rate eligible. Because a state network does not actually pay money into the E-rate program, the SLD will only recognize state networks for Internet access and not Telecommunications services. However, because the State of Texas buys the services that comprise the state network from eligible Telecommunications providers, entities that buy these services from DIR would need to list the ultimate end provider's SPIN number, in your case SBC, for Telecommunication's Services.

Since I have been the E-rate contact since the E-rate program began, I have seen many of our customers list our SPIN for Telecommunications Services. As long as the State Library posted its desire to procure these services on the SLD's website, you should use SBC's SPIN number 143004662.

Thanks, Sherri

Sherri Parks
Assistant Director, Telecommunications Services Division
Department of Information Resources
(512) 463-3580 office
(512) 463-3304 fax